

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Horn et al. CONFIRMATION NO.: 2100
SERIAL NO.: 10/026,747 GROUP NO.: 3609
FILING DATE: December 20, 2001 EXAMINER: Ali, Mohamed Hatem
TITLE: System and Method for Facilitating Securities Borrowing Transactions

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE

This is in response to the Office Action mailed from the Patent Office on February 28, 2007.

Applicants respectfully request reconsideration and entry of this Response, in which:

- **Amendments to the Specification** begin on page 2
- **Remarks** begin on page 3.